



პროფესიული განათლება  
ეკონომიკის განვითარებისთვის  
INDUSTRY-LED SKILLS AND  
WORKFORCE DEVELOPMENT



# Environmental & Social Requirements of ISWD PICG for Full Paper Stage

## Full Paper Workshop for Applicant's ESP Experts

**ISWD TAT**  
**December, 2015**

## **Objectives of the Presentation**

- Applicable environmental and social standards
- ESP related information and documentation to be delivered in the Full Application Package for ISWD PICG grant scheme
- Information Disclosure and Stakeholder Consultations procedure
- ESP related issues on QA file

## **Applicable Standards**

- National environmental & social protection law (<https://matsne.gov.ge>)
- MCC Environmental Guidelines (<https://www.mcc.gov/pages/docs/doc/environmental-guidelines>)
- IFC Performance Standards on Environmental and Social Sustainability (2012) (<http://www.ifc.org/performancestandards>)
- MCA Georgia's ESMS (<http://www.mcageorgia.ge/index.php/main/environment>)

# **IFC Performance Standards on Environmental and Social Sustainability (2012)**

- Performance Standard (PS) 1: Assessment and Management of Environmental and Social Risks and Impacts
- PS 2: Labor and Working Conditions
- PS 3: Resource Efficiency and Pollution Prevention
- PS 4: Community Health, Safety, and Security
- PS 5: Land Acquisition and Involuntary Resettlement
- PS 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources
- PS 7: Indigenous Peoples
- PS 8: Cultural Heritage

# ESP related information and documentation requirement for Full Paper Stage

- ESP related information in the Grant Application Form
- Environmental and Social Impact Assessment/ Environmental Review Report
  - Environmental & Social Management and Monitoring Plans
- Stakeholder Engagement Plan and Grievance Mechanism
- Information Disclosure and Stakeholder Consultations regarding the planned project and related ESP issues

### ESP related Questions on QA File

**Q: Are all above listed documents required if the applicant does not plan any infrastructure/ refurbishment works?**

**A:** The applicable standards require the identification of all potential impacts and risks that could have place during planned activities. The Environmental Impact Assessment (ESIA)/ Environmental Review is the impact/risk identification instrument. Therefore, all applicants should deliver the ESIA/ Environmental Review.

As all shortlisted projects fall in Category B or C, applicants should submit only **abbreviated ESIA, i.e. Environmental Review**.

All applicants should also submit the **Stakeholder Engagement Plan** and its integral part **Grievance Mechanism**.

## **ESP topics in Grant Application Form**

### **Section 1 Description**

Section 1.6. Justification, Paragraph 1.6.5

Section 1.8 Methodology, Paragraph 1.8.4

Section 1.9 Duration and Activity Implementation Plan

### **Section 2 Expected Results**

Section 2.1 Expected impact on target groups/beneficiaries,  
Paragraph 2.1.6, Paragraph 2.1.8

Section 2.2 Concrete Outputs

Section 2.3 Sustainability, Paragraph 2.3.4, Paragraph 2.3.5

### **Section 3 Logical Framework**

### **Section 4 Budget for the Project**

# Environmental & Social Requirements for Full Paper Stage

## **Grant Application Form (Section 1 Description)**

### **Section 1.6. Justification, Paragraph 1.6.5 (problem description)**

- Briefly describe **Environmental, Health & Safety (EHS) issues faced by particular industry sector**, and/or **TVET provider**. These could be:
  - High rate of Occupational Health & Safety (OHS) incidents, or professional disease at given industry or TVET
  - Absence of OHS measures, e.g. personal protection equipment (PPEs)
  - Environmental pollution and incompliance with environmental standards
  - Community health and safety issues, conflicts with local population concerns, etc.

### **Paragraph 1.6.5 (problem identification methods and solution strategies)**

- Describe the **method(s) used for problem identification**, such are: OHS incident records from practical courses or industries, specific studies by a TVET provider, various publications, stakeholder concerns and complaints, etc.
- Briefly describe your **strategy and approach to problem solving**, e.g. development of Environmental & Social Management Plan (ESMP), modification of TVET facilities and equipment, delivery of OHS trainings, etc.



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## **Grant Application Form (Section 1 Description)**

### **Section 1.8 Methodology, Paragraph 1.8.4**

- **Methodology** and **approaches** used to identify and address EHS issues may include:
- Preparation of **Environmental & Social Impact Assessment (ESIA)/Environmental Review** to identify potential EHS issues for infrastructure rehabilitation and course delivery activities
  - Development and implementation of **Environmental & Social Management and Monitoring Plans** to address impacts identified in the ESIA for infrastructure rehabilitation or course delivery activities
  - Development and delivery of **training modules** on OHS best practices and risk assessment, hazardous material management, waste management, etc.

### **Section 1.9 Duration and Activity Implementation Plan**

- The Implementation Plan should include planned **ESP activities**.

№	Activity/Output	Milestone	Deliverables	Timing (year, quarter, week)	Required Resources		Implementation Mode (procurement procedure)
					Grant Contribution	Grantee Co-financing	

## **Grant Application Form (Section 2 Expected Results)**

### **Section 2.1 Expected impact on target groups/beneficiaries, Paragraph 2.1.6 (cross-cutting issues)**

- Among other impacts on target groups/beneficiaries, please describe ESP related impacts, i.e. how your project will improve EHS related situation of target groups/ beneficiaries
- Who will be target groups/beneficiaries for these particular measures

### **Paragraph 2.1.8: (good practices)**

- Among other good practices, refer to EHS good practices introduced and adopted by TVET Provider, or promoted to Industry Sector in the frames of your project.

# Environmental & Social Requirements for Full Paper Stage

## **Grant Application Form (Section 2 Expected Results)**

### **Section 2.2 Concrete outputs:**

- Among other outputs describe expected improvements in EHS performance at TVET provider or industry as a result of the project implementation.
- Please provide specific quantitative achievements when appropriate
- Project outputs can be formulated sound as provided below:
  - X TVET teachers, Y students and Z industry personnel successfully accomplished EHS awareness trainings
  - Number of OHS incidents at TVET provider/ industry sector is reduced by 1%, number of professional disease is reduced by 0.5%
  - X TVET teachers and Y students enjoy safer practical class space
  - Number of incidents related to community health&safety as a result of TVET/industry impact is reduced by 90%
  - Number of community complaints is reduced by 50%
  - X stakeholder consultation meetings were arranged to ensure their engagement

# Environmental & Social Requirements for Full Paper Stage

## **Grant Application Form (Section 2 Expected Results)**

### **Section 2.3 Sustainability, Paragraph 2.3.4: (Environmental sustainability)**

If the project envisages improvement of environmental management at TVET provider or industry, please elaborate on the need of an environmental sustainability plan and other specific measures ensuring sustainability of expected outcomes. These can be:

- Development and implementation of Environmental & Social Management System (ESMS) and environmental programs
- Periodic needs assessment at industrial facilities to develop goal oriented environmental training programs, or update exiting one, etc.

### **Section 2.3 Sustainability, Paragraph 2.3.5: (Other ESP aspects)**

- Describe approaches to be used for the sustainability of improved OHS and EHS performance. These can be:
  - Regular EHS monitoring, record keeping and analysis of OHS incidents at TVET or partner industries
  - Regular OHS training and testing of TVET teachers and students
  - Periodic needs assessment at partner industries to review and expand OHS and environmental training modules, etc.

## Environmental & Social Requirements for Full Paper Stage

### **Grant Application Form (Section 3 Logical Framework)**

- Please put ESP related information (e.g. specific objectives, expected results, activities), discussed throughout your proposal into the logical framework

	<b>Intervention logic</b>	<b>Objectively verifiable indicators of achievement</b>	<b>Sources and means of verification</b>	<b>Assumptions</b>
<b>Overall objectives</b>				
<b>Specific objective</b>				
<b>Expected results</b>				
<b>Activities</b>				

### **Grant Application Form (Section 4 Budget for the Project)**

- All planned EHS measures should be considered in the Project Budget to enable their implementation

# Environmental & Social Requirements for Full Paper Stage

## **Environmental and Social Impact Assessment/Environmental Review**

**General Outline** (template including instructions regarding the content of each section is available)

- |   |  |
|---|--|
| 0. Executive Summary                          | 6. Project Description                       |
| 1. Introduction                               | 7. Analysis of Alternatives                  |
| 2. Project Rationale                          | 8. Environmental and Social Baseline Data    |
| 3. Scoping                                    | 9. Environmental and Social Impacts          |
| 4. Stakeholder Consultations                  | 10. Environmental and Social Management Plan |
| 5. Policy, Legal and Administrative Framework |  |

**Scope → All applications fall in category B or C, and abbreviated ESIA/ Environmental Review is required.**

- Desk study of background environment is sufficient to collect baseline data
- Impact assessment should cover all areas that could be adversely affected by planned activities

# Environmental & Social Requirements for Full Paper Stage

## Environmental & Social Management and Monitoring Plans

- Mitigation measures should be developed for all potentially significant environmental/social impact and risks, considering WB Groups EHS Guidelines, OSHA or equivalent OHS Codes of Practices, other best practices

### Environmental & Social Management Plan

#	Project Activity	Description of Potential Impact	Planned Mitigation Measures	Party Responsible for Mitigation	Party Responsible for Supervision	Cost of Mitigation Measure
1	Component 1					
	Step 1					
	Step 2					
	Step 3					
2	Component 2					
	Step 1					
	Step 2					
	Step 3					
	....					

### Environmental & Social Monitoring Plan

#	Project Activity	Monitoring Parameter	Monitoring Objective	Location	Monitoring Methods	Monitoring Frequency	Responsible Party	Estimated Cost
1	Component 1							
	Step 1							
	Step 2							
	Step 3							
2	Component 2							
	Step 1							
	Step 2							
	Step 3							
	....							

## Environmental & Social Requirements for Full Paper Stage

- **Stakeholder Engagement Plan (SEP)**
- **Grievance mechanism**
- **Information Disclosure and Stakeholder Consultations**
  - ESIA/Environmental Review, Executive Summary, ESMP and SEP should be made publically available at **TVET provider's office (hard and soft copies) and official web-site** (if available)
  - Disclosure period is **minimum 30 days**
  - Public consultations should be arranged upon completion of this period and **Minutes** of public consultations should be prepared and annexed to the ESIA
  - **Stakeholder concerns** should be considered **in the final version of the ESIA and ESMP**

***NB. It is recommended to finalize the information disclosure and public consultations procedure as soon as possible.***



## Due Diligence Check and Technical Assistance

➤ ISWD Technical Assistance Team (TAT) will:

- Provide ongoing consultations and advice
- Visit all project sites on the Due Diligence stage to verify whether ESIA/Environmental Review and ESMP sufficiently address all environmental and social issues of planned activities
- Provide feedback based on the findings of the Due Diligence check so that to help applicants to refine planned mitigation measures and avoid environmental and social issues

***NB: If Applicants amend their ESMP based on ISWD TAT recommendations, they should ensure that the project budget is respectively re-balanced!***

**Thank You**

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